

Influence of hadronic interaction models and the cosmic ray spectrum on the high energy atmospheric muon and neutrino flux

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Abstract

The recent observations of muon charge ratio up to about 10 TeV and of atmospheric neutrinos up to energies of about 400 TeV has triggered a renewed interest into the high energy interaction models and cosmic ray primary composition. A reviewed calculation of lepton spectra produced in cosmic ray induced extensive air showers is carried out with a primary cosmic ray spectrum that fits the latest direct measurements below the knee. In order to achieve this, we used a full Monte Carlo method to derive the inclusive differential spectra (yields) of muons, muon neutrinos and electron neutrinos at the surface for energies between 80 GeV and hundreds of PeV. Using these results the differential flux and the flavor ratios of leptons were calculated. The air shower simulator CORSIKA 6.990 was used for showering and propagation of the secondary particles through the atmosphere, employing the established high energy hadronic interaction models SIBYLL 2.1, QGSJet-01 and QGSJet-II-03. We show that the performance of the interaction models allows makes it possible to predict the spectra within experimental uncertainties, while SIBYLL generally yields a higher flux at the surface than the QGSJet models. The calculation of the flavor and charge ratios has lead to inconsistent results, mainly influenced by the different representations of the K/π ratio within the models. The influence of the knee of cosmic rays is reflected in the secondary spectra at energies between 100 and 200 TeV. Furthermore, we could quantify systematic uncertainties of atmospheric muon- and neutrino fluxes, associated to the models of the primary cosmic ray spectrum and the interaction models. For most recent parametrizations of the cosmic ray primary spectrum, atmospheric muons can be determined with an uncertainty smaller than $^{+15}_{-13}\%$ of the average flux. Uncertainties of the muon- and electron neutrino fluxes can be calculated within an average error of $^{+32}_{-22}\%$ and $^{+25}_{-19}\%$, respectively.

I. INTRODUCTION

After a century from the discovery of cosmic rays, their origin is still the major quest in astrophysics. If ions are efficiently accelerated in diffusive shocks, supernova remnants in our Galaxy could be the major source of cosmic rays up to about 10^{17} eV. In general, if hadronic particles are accelerated, a fraction of them must interact within their sources or in nearby molecular clouds to produce mesons, which eventually decay into high energy gamma rays and neutrinos with the energy spectrum $\sim E^{-2}$ of the accelerated cosmic rays, while the rest propagates across the Galaxy until their detection on Earth. The observed all-particle cosmic ray spectrum can be generally described as a power law $E^{-\gamma}$, with $\gamma \sim 2.7$ up to $\sim 3 \times 10^{15}$ eV (the so-called knee) and with $\gamma \sim 3.0$ up to about 10^{17} eV, above which energy, cosmic rays are believed to be of extragalactic origin. The interaction of these cosmic rays in the dense Earth's atmosphere produce mesons and therefore, muons and neutrinos with a steep spectrum of $\sim E^{-3.7}$ [1]. The search for fluxes of extra-terrestrial neutrinos rely on the fact that they should dominate at energy in excess of a few hundreds TeV over the atmospheric neutrino background. On the other hand, at this energy range the atmospheric neutrinos are mostly susceptible to large uncertainties due to cosmic ray spectral shape and composition above the knee, and to details of hadronic interaction models for the production of mesons in the atmosphere. A good understanding of the atmospheric neutrino flux is important for the identification of high energy cosmic neutrinos and therefore of the origin of the cosmic rays.

Lepton fluxes in the atmosphere depend, to some extent, on cosmic ray composition (since this determines the proton/neutron, and therefore the charge/flavor ratio), and on the spectrum-weighted moments of the inclusive cross sections for the various hadronic processes, which depend on the high energy extension of hadronic interaction models. In particular, mesons produced in the extensive air showers (mostly pions and kaons), which can either decay into leptons or trigger further interactions with the atmosphere, shape the properties of secondary particles at the Earth's surface. The increasing relative contribution of kaons with energy is at the origin of the the observed increase of μ^+/μ^- ratio in the TeV range [2–4], because of the larger charge asymmetry from associated production of K^+ which is not compensated by a similar production of K^- in the forward regime [5]. The role of kaons is more important for neutrinos, since their energy is almost equally split

between the μ and the ν_μ in the leptonic decay, while the pions' energy is mostly carried by the muons. Due to the steepness of the spectrum this effect is enhanced towards higher energies and kaons are the dominant source of neutrinos above a few hundreds GeV. The K/π ratio also determines the response of muons and neutrinos to the seasonal variations in the stratospheric temperatures, which can be used to probe the contributions of heavy mesons in the extensive air showers [6–8]. Above a few 100 TeV the decay of heavy charmed mesons is expected to contribute to the lepton spectrum. Due to large uncertainties in charm production at large Feynman x , it is not known where such transition actually occurs, and it is model dependent.

The atmospheric flux of $\nu_\mu + \bar{\nu}_\mu$ has been measured in a wide energy range from 1 GeV to a few hundreds TeV. The IceCube Observatory reported the first determination of the high energy neutrino flux in the hundreds TeV region [9, 10]. In order to calculate the atmospheric neutrino intensities precisely, we need detailed information about (i) the primary cosmic-ray spectra at the top of the atmosphere, (ii) the hadronic interactions between cosmic rays and atmospheric nuclei, (iii) the propagation of cosmic ray particles inside the atmosphere, and (iv) the decay of the secondary particles. The comparisons between various calculations and with direct measurements makes it possible to assess how the contribution of experimental uncertainties in the primary spectrum and in the different hadronic models affects the atmospheric neutrino spectrum at the energy range that is relevant to the current neutrino telescopes. In this paper, the Monte Carlo calculation is done using the CORSIKA Extensive Air Shower Simulation code (<http://www-ik.fzk.de/corsika/>).

This paper is organized as follows: in Sec. II we introduce the cosmic ray spectrum and composition, and in Sec. III the hadronic interaction models. In Sec. IV we describe the CORSIKA Monte Carlo calculation of lepton production in extensive air showers. In Sec. V we show the simulation results with comparisons with other calculations and direct experimental observations. First interaction models are benchmarked using muon observations, then the corresponding uncertainties on atmospheric neutrino spectra are determined. The effect on primary spectrum and composition is assessed as well.

II. PRIMARY COMIC RAY SPECTRUM

The observed primary cosmic ray flux covers a particle energy range from below 10^9 eV up to several 10^{20} eV. In order to cover these 12 orders of magnitude in energy, a variety of different detection methods is used. Below ~ 100 TeV particle energy, air-borne and satellite experiments such as AMS [11], PAMELA [12], ATIC-2 [13], CREAM [14, 15] and TRACER [16], directly measure particle energy and mass. Above ~ 100 TeV, the cosmic ray flux becomes too small and must be detected indirectly by large ground-based air-shower arrays (see Fig. 1). Different techniques such as the detection of secondary particles in

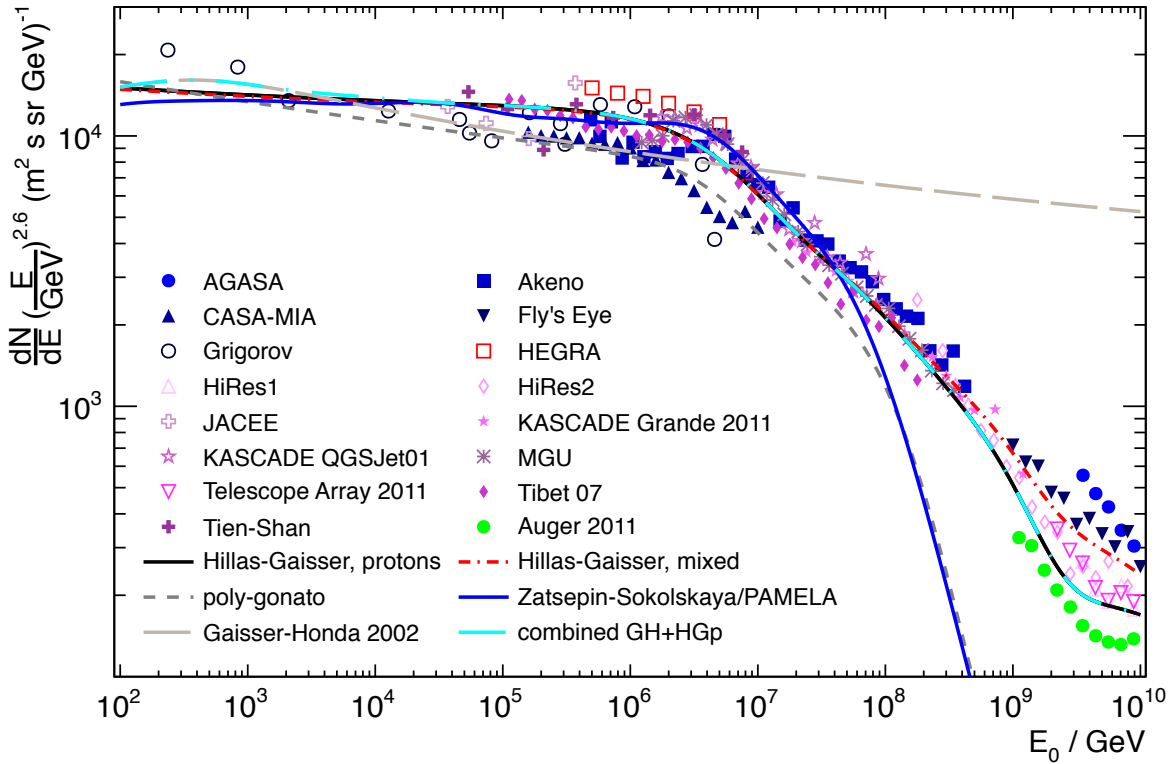


FIG. 1. All particle spectrum as measured by ground based arrays. The data are from [17–30]. The solid and dashed lines represent the power law models used as the parametrization of the primary cosmic ray flux for this work. Data compilation after [31].

an extensive air-shower or the measurement of air fluorescence are used. The fact that primary particles can only be observed indirectly by detecting portions of the induced air-shower makes it more difficult to measure the exact properties of the primary cosmic ray spectrum. A number of very large surface arrays have been built to observe cosmic rays up to about 10^{20} eV. However, inconsistencies between the results of different experiments [28],

presumably originating in the deviation of the simulation from the data [32], show that a better understanding of hadronic interactions at ultra high energies is needed.

The direct measurements provide the most unbiased results on cosmic ray spectrum and composition, and great progress was made the past years. In particular, recent observations by CREAM [14, 15] show an overall harder helium spectrum as compared to protons and, mainly, a flattening of their spectral index at about 230 GeV/nucleon. This result was confirmed by PAMELA [12] and it is consistent with AMS results [11]. This flattening of the cosmic ray spectrum could be an effect of particle propagation through the Galaxy or of acceleration in their sources [33, 34].

The indirect measurements have provided the observation of cosmic ray spectrum at the knee region (which is at about 3×10^{15} eV, where it steepens from $\sim E^{-2.7}$ to $\sim E^{-3}$) and up to the highest energies. Recent measurements by KASCADE-Grande [25] are a first observational hint that the knee in heavy particles shifts towards high energy, in general agreement with the notion of rigidity-dependence, although the result depends on the assumed hadronic interaction model. The highest energy cosmic rays above the so-called ankle at 3×10^{18} eV are expected to be of extragalactic origin due to the high level of isotropy, which would not be present for Galactic sources [35].

In order to have a description of the expected atmospheric neutrino flux arising from cosmic ray interactions in the Earth's atmosphere, a careful parametrization of the cosmic ray composition is necessary. Some of the previous Monte-Carlo calculations reached neutrino energies up to 10 TeV e.g. Barr *et al.* [36] who uses a primary spectrum from Agrawal *et al.* [37] or Honda *et al.* [38] who use BESS [39] and AMS [11] cosmic ray data. Analytical calculations above the PeV region were performed by Sinigovsky *et al.* [40]. In particular the latter uses the model of the cosmic ray spectrum following Zatsepin and Sokolskaya [41] (ZS), who assumed three classes of Galactic sources. The first source class is the explosion of Supernovae into the interstellar medium, the second class is motivated by the explosion of supermassive stars into the local super-bubble and the third class explains the flux of nuclei below 300 GeV by Nova explosions. The ZS model provides a smooth transition from the all-particle spectrum measured in the direct experiments to that measured with extensive air showers, and it is compatible with the all-particle spectrum by KASCADE and GAMMA [42]. All considered models with a (rigidity-dependent) knee are motivated by the fact that both acceleration and propagation in models involving collisionless diffusion in magnetized

plasmas lead to the expectation of a rigidity-dependent cutoff for each individual component with a particle charge Z , $E_{cut,Z} \propto Z$ [43–46]. This can explain the steepening of the spectrum around the knee and can be taken into account in the modeling of the cosmic ray spectrum using a smoothed power law function as summarized in e.g. [44, 47]. To effectively describe the all-particle spectrum of cosmic rays, five different primary mass groups, namely H, He, CNO, Mg-Si and Fe, are usually used to obtain a realistic representation, see e.g. [48, 49]. The individual spectra of the five components are summed up to get the all-particle spectrum. Recently, the PAMELA Collaboration has provided a new set of parameters for the proton and helium components of the first and third source class of the ZS model. These parameters are derived through a fit to their data [12]. The agreement to the data is significantly improved, thus in the following we use these updated parameters and refer to the model as (ZS/PAMELA).

The poly-gonato model [44, 47] describes the individual mass spectra up to the knee region fairly well, nevertheless the relatively steep dependence above the knee is not in agreement with the all-particle spectrum observations above about 10^{17} eV. Primary particles in this energy range contribute to the production of leptons in extensive air showers in the 100 TeV to PeV region. It is still disputed whether at this energy extragalactic cosmic rays can be considered as valid source class, or if a second Galactic component contributes to the primary spectrum between the knee and the ankle. In Hillas [50] it is suggested that the primary cosmic ray spectrum is composed of three populations. The first population is associated to particles accelerated in Supernova Remnants with the knee indicating the cutoff. The second population (the so-called component B) accounts for the flux between the knee and the ankle and is associated with an unknown (or rather still debated) Galactic cosmic ray population. The third population is assumed to be of extragalactic origin and becomes dominant above about 10^{18} eV.

A recent ansatz including all three populations with up-to-date information on the composition of the spectrum is presented in [5]. In this model each population is represented by 5 mass groups with a simple power law and an exponential term representing the rigidity-cutoff. The spectrum for the first population is from the CREAM results extrapolated to a rigidity of about 4 PV to describe the knee, and the second population compensates for the all-particle disagreement between the knee and the ankle up to a rigidity of 30 PV. The extragalactic component is taken into account and two different composition scenarios are

investigated. The first one testing an all-proton approach (HGp) and the second one using a mixed composition (HGm).

In this paper, we will pursue the following approach: to obtain a realistic representation of the composition we follow the common approach to use the five-component model. Since the observed hardening of the primary spectra is incorporated into the HGp/HGm models, we prefer to use these as the default high energy model for this work. Both models are valid for energies above 10 TeV per particle. For a valid representation of the spectrum for energies below 10 TeV per nucleus, we extend the HG models to lower energies through a combination with the Gaisser-Honda 2002 (GH) [51] spectrum. The transitions between the two spectra are calculated taking the the high He contribution as: 1500 GeV for H, 2650 GeV for He, 7280 GeV for CNO, 45.8 TeV for MgAlSi and 5050 GeV for Fe. In order to obtain a cross-over for the individual MgAlSi fits of the two models, the parameters of the GH model are shifted within the given error boundaries, resulting in a different normalization constant $K_{Mg-Si} = 34.2 - 6$ and a spectral index $\alpha_{Mg-Si} = 2.79 + 0.08$. The air shower simulation is run for each component individually and it produces relative abundances of the secondary particles (muons and neutrinos). The results can then be weighted with different models of primary cosmic ray fluxes.

The resulting all-particle spectra predicted by the various models are superimposed on air shower data in Fig. 1.

III. HADRONIC INTERACTION MODELS

When a cosmic ray proton or nucleus enters the Earth's atmosphere, it can be treated as a projectile colliding with an air nucleus target. An interaction with their hadronic constituents results in the production of mesons and baryons which spread away from the original projectile track with transverse momentum p_{\perp} . Unstable particles decay, while longer lived particles such as charged pions can undergo further interactions $\pi^{\pm} + Air \rightarrow$ anything, so representing a subsequent hadronic interaction with a different projectile-target configuration. The interplay of particle production, propagation and decay are the main ingredients of the atmospheric cascade.

Air shower cascades are dominated by the soft component of the interaction, which represents the hadronic cascades with small transverse momentum with respect to the shower

axis. Since no large momentum transfer is involved, the running coupling constant is too large for the application of ordinary perturbation theory [52]. Due to the lack of a self-contained theory for the soft phase space region, it is usually attempted to describe the physics with phenomenological approaches, such as the Regge theory.

The air shower simulation code CORSIKA [53] includes various models for low- and high-energy interactions. For this study only the high energy interaction models are of interest, since the typical transition energy between high- and low-energy regime in CORSIKA occurs at the low energy boundary of this calculation at 80 GeV. We have selected the models SIBYLL 2.1 [54], QGSJet-01c [55] with an additional heavy flavor (charmed) component and QGSJet-II-03 [56], the successor of QGSJet-01. The restriction to these models is based on the acceptance in the air-shower community, the availability in CORSIKA and the computational time. While it would be interesting to test EPOS [57] it could not be done, since it demands approximately 60 times more calculation time than QGSJet-01.

The Quark-Gluon-String models with minijet production (QGSJet) are based on the phenomenological description of nuclear and hadronic collisions in Gribov's reggeon framework as multiple scattering processes [55, 56, 58, 59]. The individual scattering contributions, corresponding to microscopic parton cascades, are described in terms of the exchange of "soft" and "semihard" pomerons. The Glauber approach is used for hadron-nucleus interactions. The original QGSJet model as well as QGSJet-II were especially designed for cosmic ray interactions, with the emphasis on the extrapolation to ultra-high energy cascades. QGSJet-II has been extended by the treatment of non-linear effects concerning very high energies and small impact parameters, where a large number of elementary scattering processes occurs and the underlying partonic cascades strongly overlap and interact with each other. An implication of this approach for hadron-nucleus and nucleus-nucleus interactions is that the non-linear screening effects are stronger in nuclear case, breaking the superposition picture. Additionally, the parameters of QGSJet-II are tuned according to more recent accelerator data corresponding to the state of 2006. Regarding the execution performance, QGSJet-II is 20 times slower than QGSJet-01. The heavy flavor generation of QGSJet-01c results in the production of charmed hadrons, so the description of the prompt component of the atmospheric lepton flux becomes possible. The model can handle charmed hadrons with the lowest mass only, i.e. neutral and charged $D(\bar{D})$ mesons and $\Lambda_c(\bar{\Lambda}_c)$ baryons [60]. These particles are explicitly transferred to the propagation code, given the chance for interaction

with air nuclei, which is rather uncertain due to the insufficiently known cross-sections. In CORSIKA, the decay is handled by PYTHIA 6.4 [61] routines. Although, we have used this feature to explore the possibilities of the calculation of the prompt flux within a full Monte-Carlo approach, we emphasize that there are better and more reliable calculations available, exploring the prompt component [62–66].

The underlying physical model in SIBYLL 2.1 [54] is the Dual Parton Model [52] for soft interactions with a mini-jet extension for the hard perturbative component [67]. Some features of the underlying code are borrowed from the Lund Algorithms contained in the PYTHIA 6 code. This model is explicitly optimized for air shower simulations, i.e. it implements extrapolation algorithms to ultra-high energies. Also, the program is efficiently designed to be called with a sequence of random collision energies and projectile-target configurations, in contrast to typical collider event generators, which expect to produce many events for the same configuration of incoming particles at the same center of mass energy.

The mechanism of fragmentation/hadronization is similarly treated in SIBYLL and QGSJet. Both models describe the creation of new quarks and gluons in terms of one dimensional relativistic strings (color flux tubes), with one end attached to a valence (di-) quark from a projectile and a valence (di-) quark from the target, symbolizing the exchange of very soft gluons. The typical energy ("mass") density of such strings is in the order of $\kappa \approx 1$ GeV/fm [61]. When the distance between the partons exceeds a certain critical value, the string breaks, creating a $q\bar{q}$ pair. The algorithm assigns each of these quarks to the open string ends, retaining the color confinement. Using this approach it is possible to explain the creation of new hadrons until the total energy stored in the original string has been dissipated as mass and forward momentum.

Regarding the description of heavy nuclei collisions, both models use the Glauber approach for the description of hadron-nucleus interactions. Major differences are related to the treatment of nucleus-nucleus interactions. SIBYLL implements the semi-superposition approach [68], while QGSJet uses the quark-gluon-string picture. However, the calculation method of this work is based on averages of shower observables, so that the result will likely converge towards the super-position picture [1, 55].

IV. OVERVIEW OF CALCULATION

The central element of the calculation is the Extended-Air-Shower (EAS) simulator CORSIKA version 6.990. We select a spherical detector to observe the theoretical flux without restricting the calculation to a certain type of detector. The simulation proceeds by injecting primary nuclei (H, He, C, Si and Fe) at the top of the atmosphere, such that propagation, hadronic interactions and particle decay are handled within the unmodified CORSIKA code. The temperature/density profile of the atmosphere is modeled by 5 exponential functions, with parameters fitted to the US Standard Atmosphere [69]. This static atmospheric model is widely used in neutrino flux calculations as a representation for the global atmosphere see [38, 70, 71], or [72] for an overview. Throughout the simulation, the Earth's curvature is taken into account as described in [73].

At lepton energies below hundreds of TeV the main sources of muons and neutrinos are decays of charged pions and various types of kaons (K^\pm , K_S^0 , K_L^0). In the latter case two- and three-body decay modes are included. Using the hadronic generation counter in CORSIKA, it is only possible to identify leptons having a pion as mother particle or not, thus chained decays such as $K \rightarrow \pi^\pm \rightarrow \mu + \nu_\mu$ are identified as pure pion decays.

Due to constraints on the computational time, the simulation of the electro-magnetic component of EAS has not been activated, thus the channels having di-muon pairs in the final state via electromagnetic processes such as $\gamma \rightarrow \mu^+ + \mu^-$ are not included in this calculation.

A. Calculation scheme and normalization

When the secondary particles reach the sea level, they are binned according to their energy E , type p , mother particle m (K , π or charm), the high-energy interaction model \mathcal{M} , the discrete energy of the primary nucleus E_0 , the charge of the primary nucleus \mathcal{Z} , the zenith angle of the primary nucleus θ and the atmosphere \mathcal{A} . This results in a database of yields $\mathcal{Y}_{m \rightarrow p}(E_p, \mathcal{M}, \mathcal{A}, \theta, \mathcal{Z}, E_0)$. The yield is a differential inclusive energy spectrum (dN/dE) of a certain type of a secondary particle at the surface, as a result of an air shower cascade initiated by a number N of primary nuclei having several properties such as primary charge \mathcal{Z} , zenith θ , interaction model \mathcal{M} a.s.o.

The resulting flux of leptons of type p at the surface can be calculated as the discrete convolution

$$\Phi_p(E_p, \theta, \mathcal{M}, \Phi_C, \mathcal{A}) = \sum_{m_k} \sum_{\mathcal{Z}_j} \sum_{E_{0,i}} w(E_{0,i}, \Phi_C(\mathcal{Z}_j)) \times \mathcal{Y}_{m_k \rightarrow p}(E_p, \mathcal{M}, \mathcal{A}, \theta, E_{0,i}, \mathcal{Z}_j) , \quad (1)$$

with the weight function

$$w(E_0, \Phi_C(\mathcal{Z}_j)) = s_A \cdot s_N(E_0, \Phi_C(\mathcal{Z}_j)) . \quad (2)$$

The surface scaling factor s_A compensates for the area normalization due to different reference shells for flux of cosmic rays at the top of the atmosphere relative to the area of the virtual detector at the surface [72]:

$$s_A = \frac{r_E + h_{atm}}{r_E} \approx 1.018 , \quad (3)$$

where r_E is the Earth's radius and h_{atm} is the atmosphere's height. The second factor $s_N(E_{0,i}, \mathcal{Z}, \theta)$ compensates for the number of simulated showers N in the i -th primary energy bin $\Delta E_{0,i}$, with respect to the physical flux in this energy bin according to some theoretical primary flux model Φ_C :

$$s_N(E_{0,i}, \Phi_C(\mathcal{Z})) = \frac{1}{N(E_{0,i})} \int_{\Delta E_{0,i}} dE'_0 \Phi_C(E'_0, \mathcal{Z}). \quad (4)$$

The factor is calculated for each primary energy bin i and nucleus \mathcal{Z} . In this work $N(E_0 = 100 \text{ GeV}) = 100,000$, extending with a power law behavior ($\sim E^{-\gamma}$) up to $N(E_0 = 100 \text{ EeV}) = 40,000$. This corresponds to a differential spectral index of $\gamma = 1.05$. This approach allows us to efficiently re-weight the simulated dataset according to any primary model without the recomputation of the air shower database.

B. Approximations

For secondaries above 80 GeV the 3D-effect, the east-west effect and the up-down asymmetry have a negligible contribution [36, 70, 71]. Also, for primaries above hundreds of GeV the influence of the geomagnetic cutoff and the Earth's magnetic field is below the simulation accuracy. Therefore, we make following approximations:

1. the flux of primary cosmic rays at the top of the atmosphere is isotropic,

2. the error due to joint usage of the US Standard atmosphere is smaller than other systematic uncertainties,
3. the effect of Earth propagation is neglected, thus the flux of neutrinos is assumed to up-down symmetric up to \sim PeV energies (see e.g. [74, 75] for the estimation of this effect on the event rates of neutrino telescopes)
4. the flux is azimuth-symmetric,
5. neutrino oscillations have no significant effect,
6. the lateral distribution of the EAS is not taken into account and particles are considered to travel on a line trajectory, even if the full tree-dimensional cascade is simulated.

Since in CORSIKA the shower has a fully three-dimensional shape, the last approximation is motivated by the disregard of the lateral distribution. The total number of simulated showers is 787,161,600.

V. RESULTS

The structure of this chapter is as follows: in the first part the particle charge and flavor ratios are calculated, reflecting some physics assumptions of the employed interaction models and features of the air shower code. These values are mainly sensitive to the pion to kaon ratio in the air shower. The differences between the interaction models are dominant compared to the variation due to the primary cosmic ray flux. Therefore, the calculation of the conventional ratios has been carried out, using the combined Gaisser-Honda and Hillas-Gaisser model with protons only in the extragalactic component (cHGp). If the zenith range is specified as vertical, then it is restricted to $\cos(\theta) < 0.25$ and $\cos(\theta) > 0.75$ for horizontal, respectively. Generally, neutrino fluxes are given as averages over all zenith angles and muon fluxes for the vertical direction only.

In the second part, we present the calculated conventional fluxes of atmospheric muons, muon neutrinos and electron neutrinos. Here, we again use the cHGp model to parametrize the primary cosmic ray flux and composition.

In the last part, we study the influence of the knee of cosmic rays by variation of the primary CR flux model for a given interaction model. Additionally, we show the prompt

prediction based on the implementation of QGSJet-01c for different primary flux models.

A. Interaction model performance

The ratios of neutrino flavor and of muon charge represent the interaction model performance regarding the inclusive spectra of pions and kaons in the atmospheric cascade, where kinematical propagation effects, a realistic atmosphere and air composition are taken into account. There is also sensitivity to the primary composition, since a higher contribution of neutrons in the all-nucleon flux lowers the fraction of positively charged particles in the shower. The statistical uncertainty of the Monte-Carlo method limits the reasonable energy range to < 100 TeV. The errors are purely statistical.

1. Lepton ratios at the surface

Figure 2 shows ratios of neutrino flavor and of muon charge as they would be observed by a surface detector. In the upper left panel, the muon neutrino to antineutrino ratios are drawn together with some reference calculations. HKKM 2011 [38], which uses a modified version of DMPJET-III [78] for the high energy part, performs close to the cascade equation approach by Sinigovsky *et al.* [76], employing the parametrization of nuclear interactions by Kimel-Mokhov and the ZS primary flux model. The Bartol 2004 [36] calculation reflects the properties of the interaction model TARGET [79], which explicitly includes the associated kaon production channel $p + \text{anything} \rightarrow \Lambda + K^+$ [37, 54, 79]. The similarities between SIBYLL and TARGET become apparent due to the higher kaon charge ratio and thus the increased ν_μ over $\bar{\nu}_\mu$ flux, due to the similarly treated associated kaon production. Both QGSJet models fall below all other expectations because the charge ratios (bottom panels of Fig. 2) of pions and kaons in the air shower are constant over a wide energy range, showing that no associated kaon production is included.

In analogy to the $\nu_\mu/\bar{\nu}_\mu$ -ratio, the muon charge ratio for vertical zenith directions is shown in the top right panel of Fig. 2. As expected from the muon neutrino ratios, the muon charge ratio from SIBYLL suffers from the overestimation of K^+ . However, the shape of the curve reproduces the features observed by experiments. It is therefore presumably a matter of scale between the inclusive pion and kaon spectra in the $p N/n N$ -interaction or the ratio

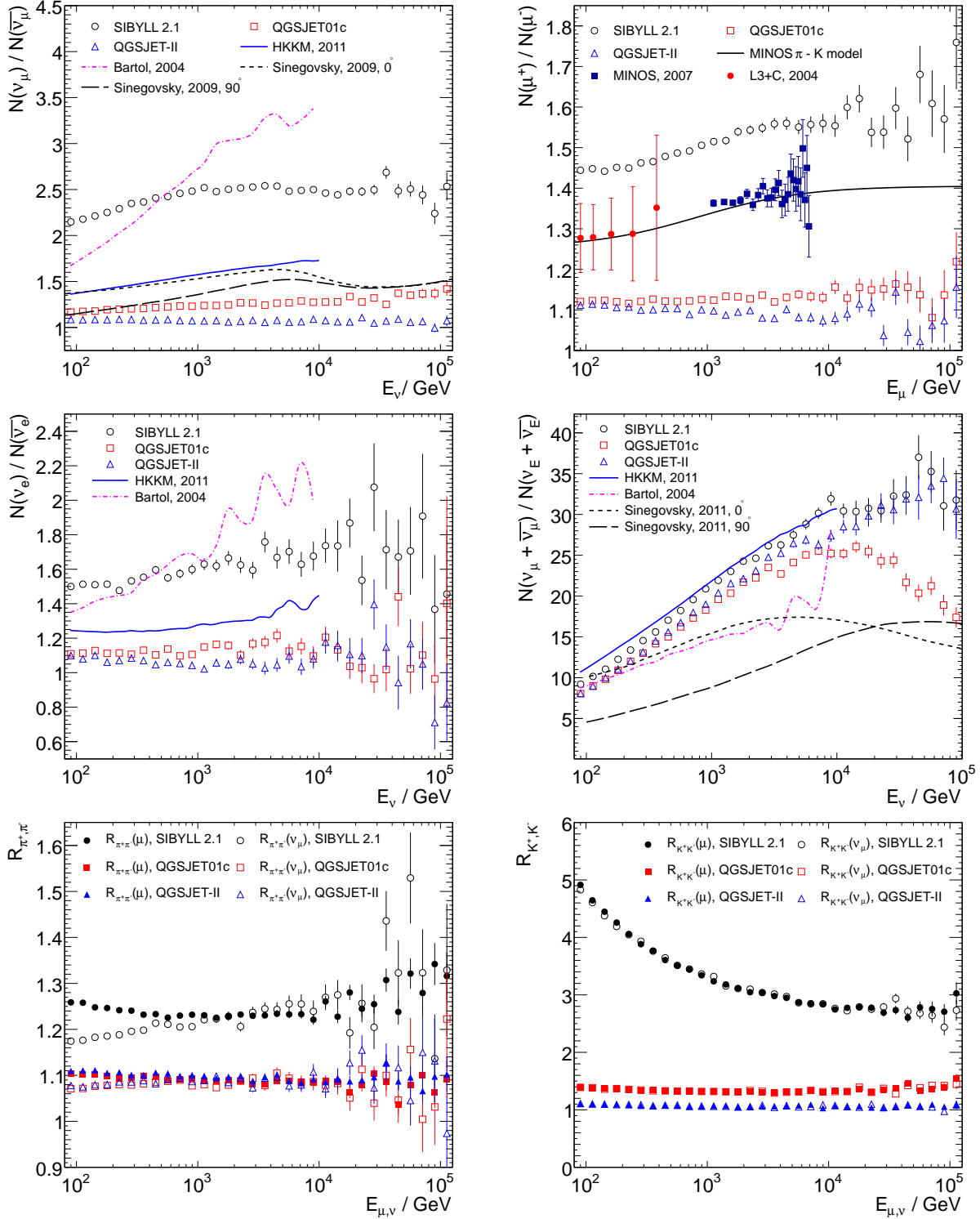


FIG. 2. *Top left and middle panels:* All angle averaged ratios of neutrinos, compared to calculations [36], [38], [76] and [40]. *Top right panel:* Muon charge ratio for vertical muons, compared to data by MINOS [2] and L3+C [77]. *Bottom panels:* Charge ratio of pions (*left*) and kaons (*right*) derived from surface muons (full markers) and muon neutrinos (hollow markers), respectively.

of the $\sigma_{\pi-Air}$ to the σ_{K-Air} cross-sections. Due to the flat meson charge ratios and also the low kaon charge ratio, both QGSJet models do not describe the shape and normalization according to L3+C and MINOS data correctly.

The middle left panel shows the $\nu_e/\bar{\nu}_e$ -ratio. Again, the difference between the interaction models which have an increased K^+ production can be observed due to the production channel $p \rightarrow \Lambda K^+$ and the three-body decay $K^+ \rightarrow \pi^0 e^\pm \nu_e(\bar{\nu}_e)$. Within SIBYLL this process has a higher contribution (see bottom panels).

The neutrino flavor ratio in the middle right panel is sensitive to the occurrence of the 3-body kaon decay process $K_{3e\nu}$ [80], which is the dominant source of conventional electron neutrinos for the observed energy. Our calculation is close to HKKM 2011 for all three interaction models. From [40] the SIBYLL + GH calculation is used. The falling ratio for QGSJet-01 at $E_\nu > 10$ TeV is from the additional ν_e flux, coming from decays of charmed hadrons.

The bottom panels show the charge ratio of the mother mesons of muons and neutrinos at the surface. In CORSIKA, secondary neutrinos are treated as final state particles without further interactions. The spread of the muon charge originating from pions for energies below 1 TeV only occurs for horizontal zenith angles and thus it can be explained through the occurrence of chained decays of $K^+ \rightarrow \pi^+ + \text{anything} \rightarrow \mu^+ + \nu_\mu$ and muon decay $\mu^\pm \rightarrow e^\pm + \nu_e(\bar{\nu}_e) + \nu_\mu(\bar{\nu}_\mu)$. In the right panel, the kaon charge ratio is nearly constant for all energies and the QGSJet models (no associated production). In SIBYLL the enhancement due to associated kaon production is apparent as stated above. This behavior suggests that the Λ cross-section is too large or their spectrum too hard. This effect enhances the total kaon multiplicity in the cascade, leading to a higher neutrino flux at the surface.

2. Mesonic origin of leptons

In Fig. 3 the fractions of muons and neutrinos are shown with respect to their mother particle of arbitrary charge. As expected, SIBYLL has a higher contribution of kaons at all energies. For energies above $E_\nu > 100$ TeV the source of conventional neutrinos are nearly exclusively kaons. Superimposed in the top and middle panels are curves, which are obtained by combining the approximative analytical formulas (6.4) and (7.5) from [1] with experimentally fitted parameters from MINOS [2] (labeled as MINOS pi-K in the figure)

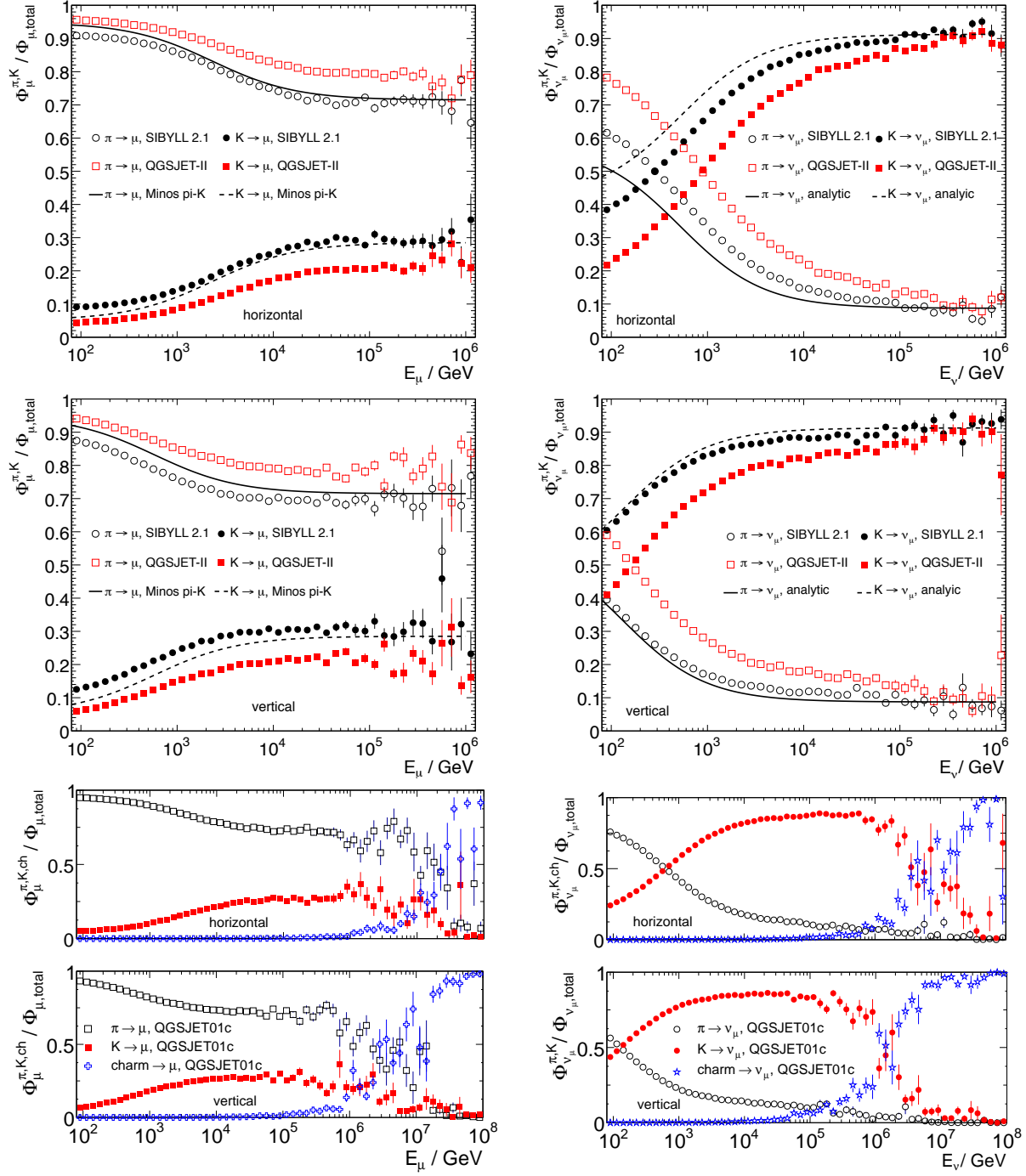


FIG. 3. Fractions of pions and kaons to the total flux for vertical and horizontal muons (*left hand side*) and neutrinos (*right hand side*), respectively. The solid curves are obtained through the approximative formulas from [1], a detailed explanation is in the text. The four bottom panels separately show the corresponding figures obtained with QGSJet01c including charmed hadrons.

or the SIBYLL-2.1 at 10 TeV z -factors from [81] (labeled as analytic in the figure). In the muon channel (left panels) the MINOS parametrization suggests that the fractional meson contributions of the air shower are better described by QGSJet at energies below 300 GeV, but at higher energies they approach the SIBYLL model.

The four bottom panels show QGSJet01c predictions including the prompt component. For the vertical incident direction the conventional flux is suppressed by the steeper atmospheric pressure gradient, such that longer lived mesons prefer the interaction with air nuclei prior the decay. The very short lived D 's and Λ_c 's promptly decay into leptons, which carry a large fraction of the original energy of the nucleus. The model in QGSJet01c predicts that the purely prompt flux can be observed above 10 PeV for muons or neutrinos irrespective the direction of incidence.

B. Differential lepton fluxes at the surface

In contrast to the previously presented ratios, the total muon neutrino intensity can directly be observed by recent neutrino detectors, such as the IceCube Observatory and ANTARES. However, the uncertainty of the measurements is to some extent dependent on the assumed model of hadronic interactions, the atmosphere and the primary flux during the data analysis. Beside the cHGP primary flux parametrization usage throughout the calculations of the next subsections, we employ the GH spectrum when it is possible to compare the result of this study with measurements. In Sec. VC the influence of the primary model on the fluxes is studied explicitly. The neutrino fluxes are averaged over the azimuth and zenith angles, while the muon fluxes are presented for the vertical direction only. The prompt component is turned on in all simulations with QGSJet01c.

The differential flux of conventional $\nu_\mu + \bar{\nu}_\mu$ is presented in Fig. 4, compared to experimental data from AMANDA II and IceCube with 40-string configuration.

The flux obtained with the two QGSJet models is comparable with Amanda and IceCube data in the experimentally observed range. As discussed in the previous section, SIBYLL has a higher fraction of kaons leading to a higher muon neutrino flux. In connection with the harder primary spectrum compared to the previous calculations, it seems to overestimate the flux with respect to the IceCube data. In the region of hundreds of GeV, where the GH primary model is valid, the Monte-Carlo calculation agrees well with the

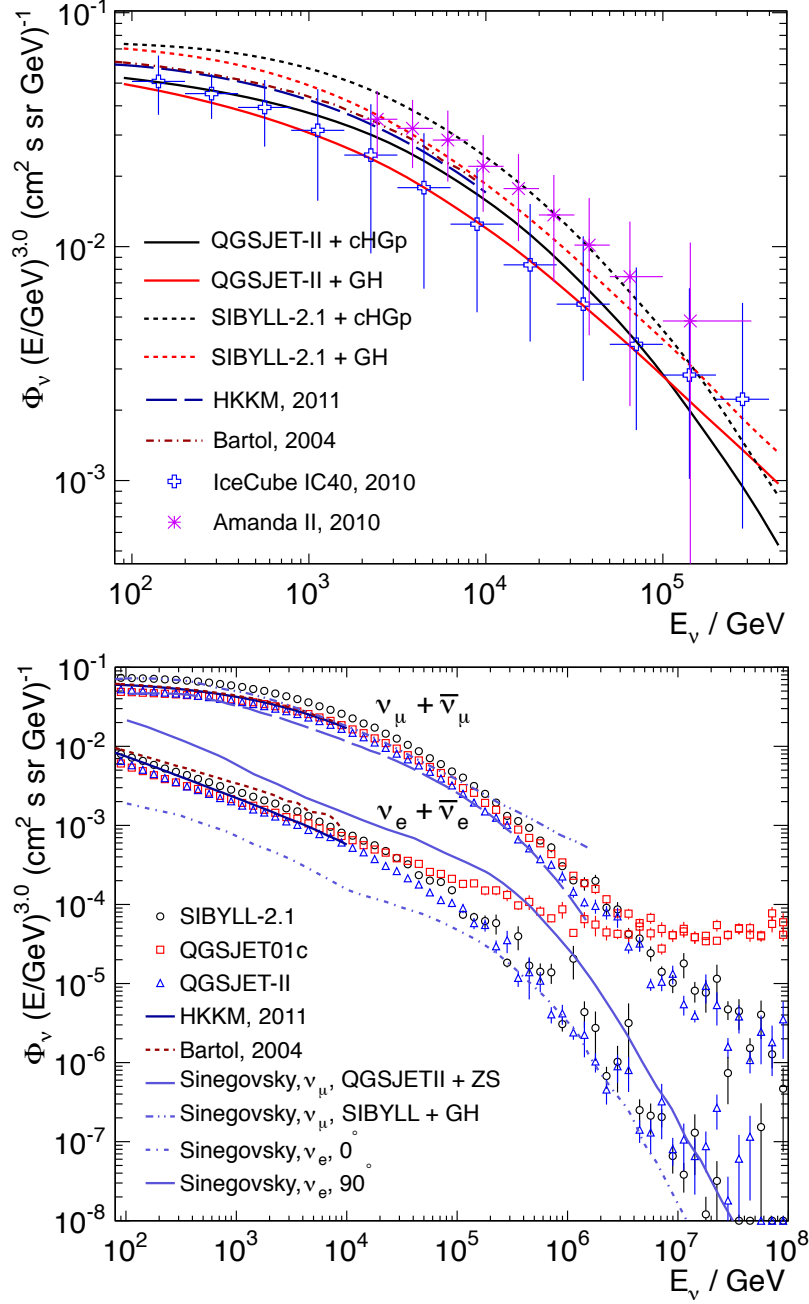


FIG. 4. Flux of conventional $\nu_\mu + \bar{\nu}_\mu$ averaged over zenith and azimuth angles, compared to experimental data from Icecube in 40-string instrumentation [9] and Amanda II [10]. The bottom pane shows the full energy range for muon and electron neutrinos, calculated using the cHGP model and compared to calculations by [40], [38] and [36], providing the visibility of the influence of knee of CR in muon neutrino spectra.

semi-analytic calculations from Sinegovsky *et al.* [40].

The calculation of the flux of electron neutrinos is depicted in the bottom pane of in Fig. 4 for the full energy range of the simulation. Due to the lack of experimental observations the results can only be compared to other calculations. The flux calculated with SIBYLL or either version of QGSJet agrees with other calculations. The significant contribution of prompt neutrinos practically eliminates the effect of the knee on the spectral shape with QGSJet01c. Therefore, every single electron neutrino detected with $E_\nu > 1$ PeV has a very high probability to have a prompt or astrophysical origin.

In Fig. 5 the muon flux is compared to data and calculations. The spread between the different interaction models decreases due to the minor contribution of kaons. In the range of the pion's critical energy ($\epsilon_\pi \approx 115$ GeV), the BESS and L3+C data strongly constraints the validity of the calculation to either combination of SIBYLL-2.1 or QGSJet-II using the cHGp spectrum. The QGSJet-01c simulation yields a too low muon intensity with either primary spectra below TeV energies.

C. Variation of the primary cosmic ray flux

Figure 6 shows the muon charge ratio, calculated with SIBYLL-2.1 using different primary flux models. Although SIBYLL's prediction is too high, it does well reproduce the transition between the pion charge dominated ($E < \epsilon_\pi$) and the kaon charge dominated ($E > \epsilon_K$) regions of the charge ratio. As pointed out in [5], the variation of the primary flux model leads to the variation of the slope of this transition, which is steepest for the ZS spectrum and flattest for the two HG models.

In Fig. 7, we have calculated the surface fluxes assuming different primary spectra and compositions for the primary cosmic ray flux with respect to a baseline spectrum. To emphasize the differences of this calculation in connection with previously published primary cosmic ray flux models, we have selected GH (2002) as the baseline. The results are similar for QGSJet-01c. The shape of these curves does not change when using SIBYLL-2.1, but the features are shifted roughly a factor ~ 2 towards higher energies in the case of muon neutrinos and a factor of ~ 4 in case of muons, i.e. the ratio $\Phi_\mu(\mathcal{M} = \text{cHGp})/\Phi_\mu(\mathcal{M} = \text{GH})$ crosses unity at 800 TeV instead of 200 TeV.

The poly-gonato model yields the lowest flux, falling below all other models above 500 GeV. This model is designed with the goal to describe the cosmic ray flux below the knee

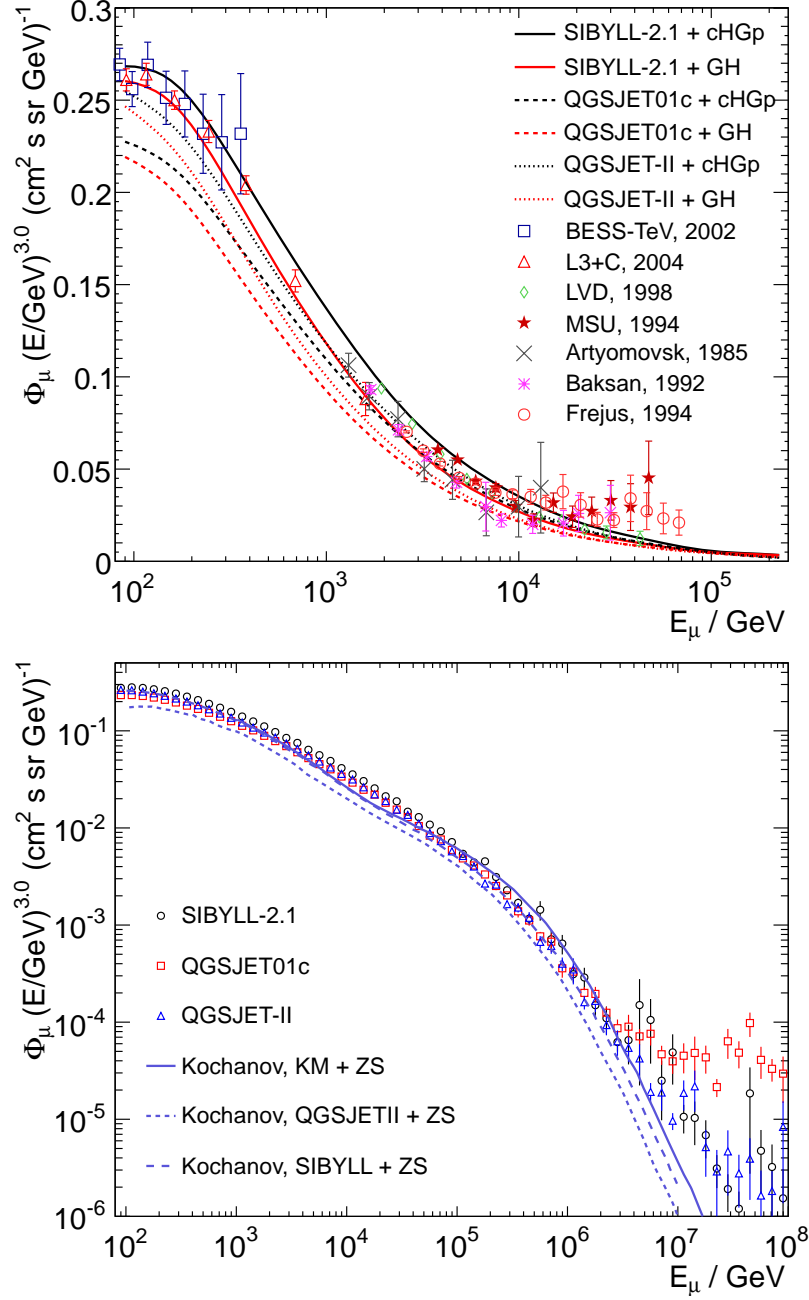


FIG. 5. Surface flux of vertical (on the left pane for $\cos(\theta) = 1.0$) $\mu^+ + \mu^-$ compared to measurements and calculations. The cascade equation calculations are from [81]. The experimental data are from [39, 77, 82–86] and datapoints for [82–85] have been taken from [81].

and at the knee. Above, the spectrum is too steep and does not agree with data (see Fig. 1). It is therefore not suited to accurately describe effect of the knee on atmospheric leptons.

The Zatsepin-Sokolskaya (PAMELA parameters) model agrees with several indirect measurements at energies close to the knee and with direct PAMELA measurements in the

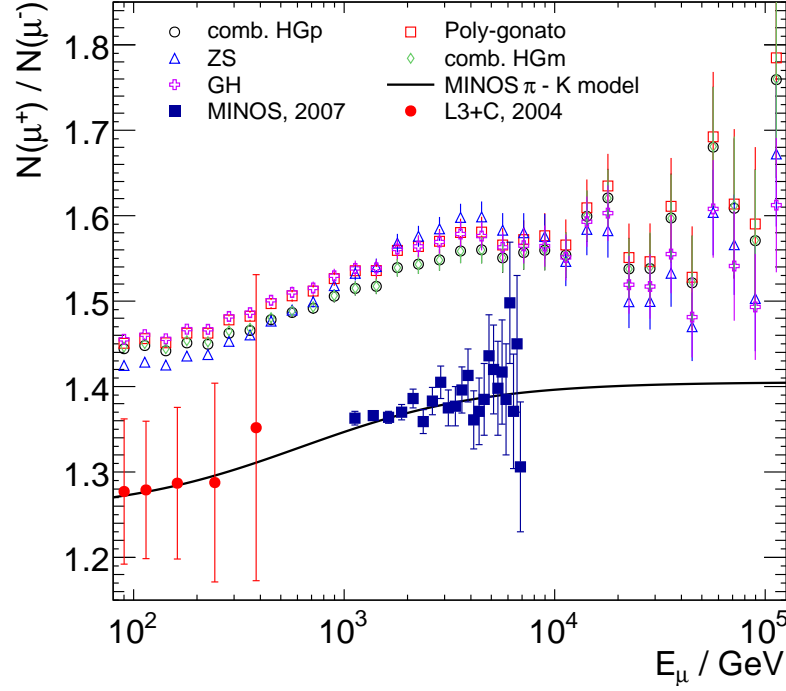


FIG. 6. The charge ratio, deduced from the vertical muon flux, using SIBYLL-2.1 and different primary models. The MINOS π -K model and the data are from [2] and for L3+C [77] respectively.

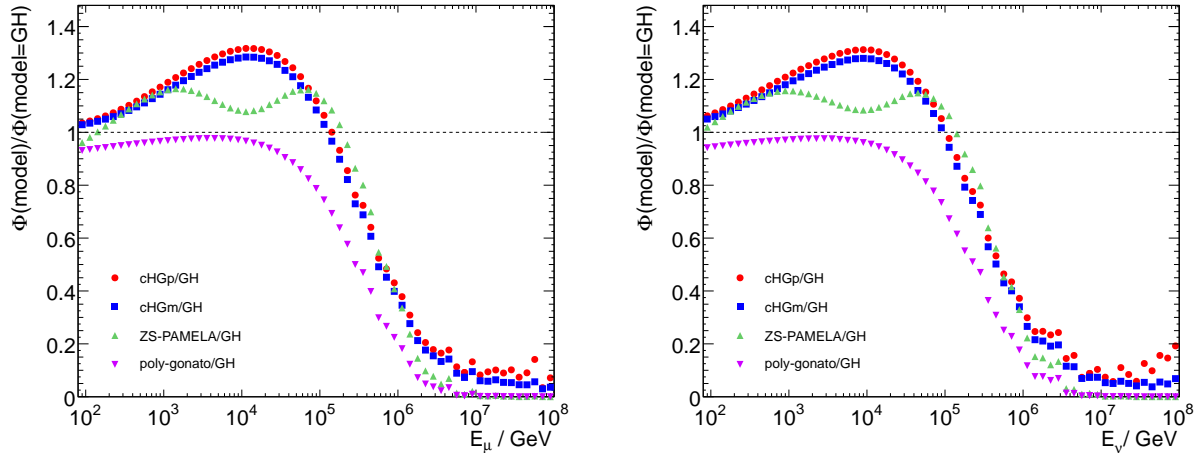


FIG. 7. Illustrated are the deviations of the muon (*left*) and muon neutrino (*right*) flux when calculated with different primary models, divided by the flux generated using the GH spectrum. The GH model has been extrapolated up to the highest energies, symbolizing a knee-less assumption. The selected interaction model is QGSJet-II.

proton and helium component. Using this model the lepton fluxes show a significant kink at tens of TeV, originating from the transition of the first (SN) to the second (SN into super-bubble) source class. This transition leads to a variation of the lepton fluxes in the order of 20 - 30%.

The two Hillas-Gaisser models (cHGp and cHGm) incorporate the hardest spectrum, and thus lead to the highest fluxes at lepton energies above several TeV. The hypothetic second Galactic component plays an important role at the knee, being the source of atmospheric leptons at knee energies. Due to the overall good agreement of these models with the all-particle primary spectrum we favor the version with protons in the extragalactic component for all our neutrino calculations.

The effect of the knee of cosmic rays in the primary spectrum is reflected in a similar shape for muons and muon neutrinos. However, the logarithmic abscissa does not represent well the differences in energy. The spectral index begins to change at several TeV and falls below the knee-less hypothesis (GH spectrum) in the range 100 - 200 TeV in the case of the ZS and the cHGp/m models.

D. Charm in QGSJet-01c

We have used the implementation of charm hadron production in QGSJet-01c to assess the influence of the primary spectrum and composition on the prompt flux. To minimize the statistical uncertainty the prompt flux has been averaged over the flavor (ν_μ , ν_e and μ), since the cross-sections and branching ratios in the considered channels are nearly equal [62]. The results are shown in Fig. 8. In general, the absolute flux generated with QGSJet is at least factor of ~ 2 lower in the range compared to the two reference calculations, the spectral index shows compatible characteristics up to energies of hundreds of TeV. Since the crossover between the conventional and the prompt flux occurs in a region above the knee, where the spectral index of the conventional flux has its maximum, the uncertainty is too large for a detailed discussion. Therefore, we restrict ourselves to the discussion of the influence of the primary model.

In the region below 100 TeV where the conventional fluxes dominate, the variation of the prompt component due to the primary model is smaller than the differences between the theoretical predictions in Fig. 8. Above the knee the influence of the cosmic ray intensity and

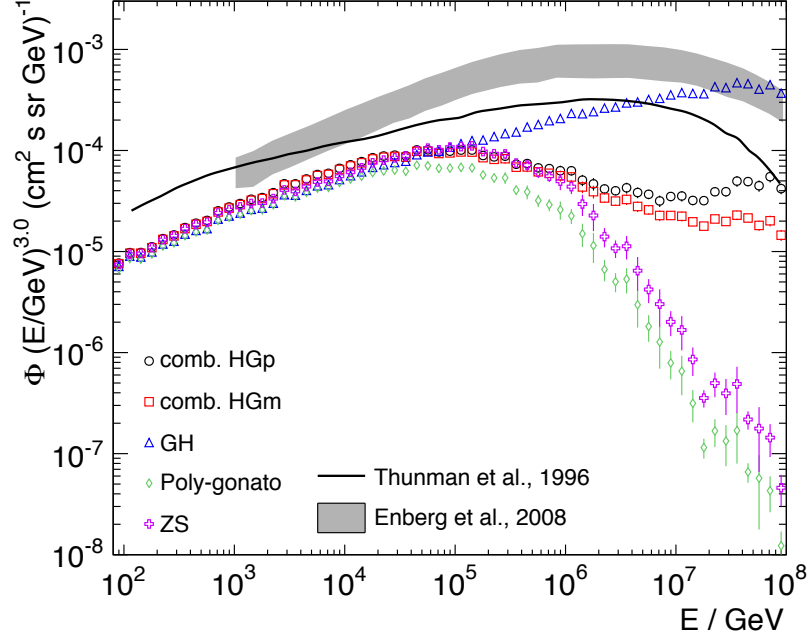


FIG. 8. (Prompt) Flux of leptons originating from charm particles, calculated with QGSJet-01c.

composition is evident. Primary models, which do not include a second Galactic or a third extragalactic component, suffer from a steep cutoff (poly-gonato and ZS). The differences between the cHGp and cHGm models show, that for the highest energies the modeling of an extragalactic component is crucial and that different composition scenarios of the primary flux influence the total rate of prompt particles.

E. Estimation of the theoretical uncertainty

The total theoretical uncertainty of the conventional flux of atmospheric muons and neutrinos, derived from the results of this calculation, is shown in Fig. 9 and Tab. V E. The shaded bands were calculated using the average particle spectrum:

$$\langle \Phi_p(E) \rangle = \frac{1}{N_{\mathcal{M}} N_{\Phi_c}} \sum_{\mathcal{M}} \sum_{\Phi_c} \Phi_p(E, \mathcal{M}, \Phi_c) \quad (5)$$

The upper and lower boundaries of the uncertainty bands are then given by

$$\delta^+(E) = \max \frac{\Phi_p(E, \mathcal{M}, \Phi_c)}{\langle \Phi_p(E) \rangle} \quad \delta^-(E) = \min \frac{\Phi_p(E, \mathcal{M}, \Phi_c)}{\langle \Phi_p(E) \rangle}. \quad (6)$$

This is for the combined uncertainty due to the interaction model and the primary flux model. Since the GH and the poly-gonato model do not consider a steepening and the

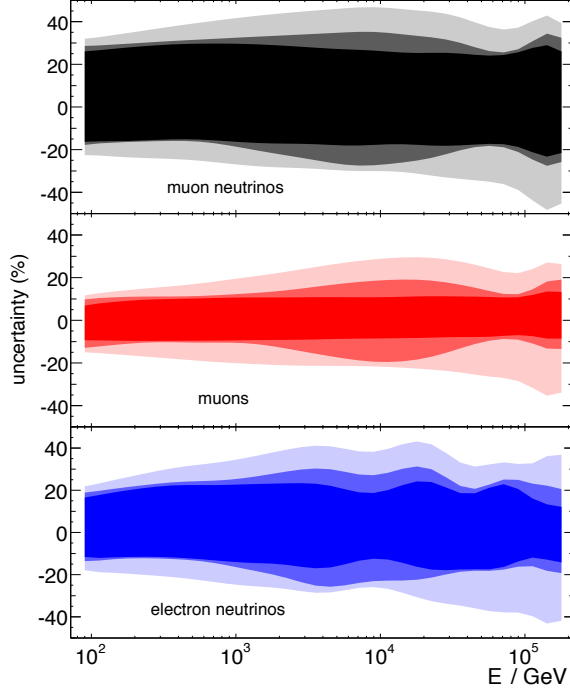


FIG. 9. Total theoretical uncertainty derived from the variation of the interaction model is represented by the *inner solid bands*. The shaded bands are derived from the variation of the interaction model using all available primary models (cHGp, cHGm, ZS/PAMELA for the *medium shaded bands* and cHGp, cHGm, ZS/PAMELA, GH and poly-gonato for the *outer shaded bands*).

disagreement of single power law fits to observations of direct measurements, we provide in the medium shaded band the uncertainty when using cHGp, cHGm and ZS as candidate spectra only. This result suggests that up to 1 TeV neutrino or muon energy, the uncertainty of the flux is dominated by the hadronic interaction model, while the flux of primary cosmic rays and the composition (< 100 TeV/nucleus) are relatively well known. Above this energy the different assumptions about the origin and shape of the knee become dominant and result in additional 15% to the uncertainties of the hadronic interaction models. At lepton energies approaching 100 TeV the uncertainties due to the primary flux are decreasing, since the primary nuclei responsible for these particles are from an energy range at the knee, where the primary models are compatible with each other.

The pure interaction model uncertainty was calculated by fixing the primary model Φ_C to cHGp. The result shows the features discussed in Sec. V A. The weaker dependence on the representation of kaons in the air showers and a better overall agreement of the

TABLE I. Theoretical uncertainties of the conventional atmospheric lepton fluxes, given in %. *ALL* - variation of the combinations between the all primary models (cHGp, cHGm, ZS-PAMELA, poly-gonato and GH) and all hadronic interaction models *HIM* (SIBYLL-2.1, QGSJet01c, QGSJet-II). *RECENT* contains the same set as *ALL*, excluding the GH and the poly-gonato models. *HIM only* - primary model is fixed to cHGp and all interaction models are varied. The average values (av) are calculated using all data points from the bands.

Type	ALL + HIM					RECENT + HIM					HIM only				
Energy (TeV)	0.1	1	10	100	av	0.1	1	10	100	av	0.1	1	10	100	av
ν_μ	+32	+42	+47	+39	+42	+29	+32	+35	+29	+32	+26	+30	+26	+27	+27
	-23	-27	-30	-41	-32	-17	-19	-27	-23	-22	-16	-16	-18	-20	-20
ν_e	+23	+35	+39	+33	+35	+19	+25	+28	+24	+25	+17	+23	+19	+18	+20
	-18	-25	-26	-39	-30	-13	-16	-23	-16	-19	-12	-14	-13	-13	-13
μ	+12	+20	+29	+23	+24	+10	+12	+19	+13	+15	+7	+11	+11	+11	+11
	-15	-20	-22	-30	-24	-13	-11	-19	-10	-13	-9	-9	-8	-7	-7

pion performance between the interaction models leads to the determination of the muon flux with a high precision ($< 11\%$) up to hundreds of TeV. The important role of kaons for atmospheric neutrino production results in high uncertainties for both neutrino flavors. For the production of muon neutrinos, pions and the K/π -ratio play a bigger role, thus resulting in higher uncertainties for muon- than for electron neutrinos. Our results for the interaction model uncertainty are somewhat higher when compared to the detailed study of uncertainties in atmospheric neutrino fluxes by Barr *et al.* [87], which predicts for muon neutrinos at 1 TeV a total (gaussian) uncertainty of 30% due to hadronic interactions and of 40% if the primary flux is taken into account.

1. Uncertainties due to the composition in the UHE regime

Since the flux of muons and neutrinos at energies above hundreds of TeV is dominated by prompt muons and neutrinos, only QGSJet-01c with an enabled charm component is suitable for studies. In Fig. 10, the same approach as in the previous section has been chosen to identify the uncertainty of the UHE component due to the uncertain composition

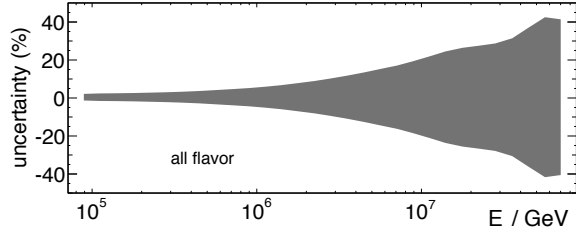


FIG. 10. Theoretical uncertainty of the prompt atmospheric leptons due to the composition of the extragalactic component component.

of extra-galactic cosmic rays, resulting in less than $\pm 2\%$ at 100 TeV and $\pm 40\%$ at hundreds of PeV.

VI. SUMMARY AND DISCUSSION

We have developed a full Monte-Carlo calculation scheme which is capable to calculate muon neutrino, electron neutrino and muon fluxes up to 100 TeV, with a statical accuracy around a few percent. For surface energies up to 100 PeV, it was possible to assess the influence of the spectrum and composition of primary nuclei on the calculation. With respect to the increasing sensitivity of modern neutrino telescopes up to these energies, the influence of the knee of cosmic rays has been studied from the perspective of lepton spectra at the surface.

The asymmetric uncertainties in the calculation of the fluxes have been assessed by carrying out the calculation using several models of the primary cosmic ray flux and three interaction models, which individually represent different assumptions about hadronic interactions. It has been found that the uncertainties in the calculation of the atmospheric muon flux are significantly smaller, compared to the uncertainties of the atmospheric neutrino flux. As it has been shown in the study of flavor and charge ratios, this behavior can be explained by the insufficiently known contribution of kaons in the atmospheric cascade and the higher importance of kaons for the neutrino flux.

In particular, uncertainties of the conventional atmospheric neutrino flux are important as a dominant source of systematic uncertainties in the search for astrophysical high-energy neutrinos. Recent searches for different astrophysical neutrino signals with IceCube are based on the Gaisser-Honda parametrization of the spectrum with an assumed systematic

uncertainty of 25% [74, 88]. Here, it could be shown that depending on the energy, the uncertainty of the atmospheric neutrino flux is $\pm_{22}^{32}\%$ on average when using a realistic cosmic ray spectrum. While this number is dominated by the interaction model, there is still some significant contribution from the primary flux models. If a better understanding of the composition and spectral behavior up to the knee and above can be achieved, the total uncertainty can be reduced further. In addition, it is expected that the inclusion of new LHC data can reduce the systematic uncertainties coming from the interaction models [89] at the energy of the knee.

Using the charm option provided with QGSJet-01c, it was possible to calculate the prompt component of atmospheric leptons. Although, the absolute value of the prompt flux is significantly lower than expected from other calculations, we were able to show the role of the primary flux model in this type of calculations. The prompt flux has been identified to be sensitive to the composition of the extragalactic cosmic rays.

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